



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Larry T. HARADA et al.                      Art Unit : 2131  
Serial No. : 09/323,415                                      Examiner : A. Nobahar  
Filed : June 1, 1999  
Title : SECURE DATA EXCHANGE BETWEEN DATA PROCESSING SYSTEMS

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. Copies of communications from foreign patent offices in a related application are also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

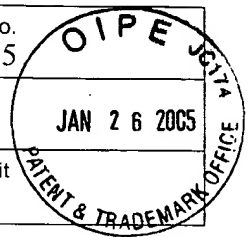
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-041001	Application No. 09/323,415
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Larry T. HARADA et al.	
		Filing Date June 1, 1999	Group Art Unit 2131



### U.S. Patent Documents

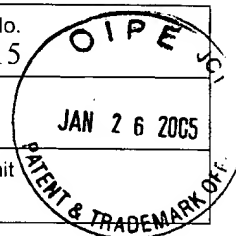
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,678,041	10/14/1997	Baker et al.			
	AB	5,708,780	01/13/1998	Levergood et al.			
	AC	5,754,938	10/14/1997	Baker et al.			
	AD	5,764,890	06/09/1998	Glasser et al.			
	AE	5,802,320	09/01/1998	Baehr et al.			
	AF	5,805,803	09/08/1998	Birrell et al.			
	AG	5,826,025	10/20/1998	Gramlich			
	AH	5,889,956	03/30/1999	Hauser et al.			

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AI	EP 0848338	06/17/1998	Europe				
	AJ	EP 0855659	07/29/1998	Europe				
	AK	EP 0893920	01/27/1999	Europe				
	AL	WO 98/36522	08/20/1998	WIPO				
	AM	WO 98/31155	07/16/1998	WIPO				
	AN	WO 98/33130	07/30/1998	WIPO				
	AO	WO 98/45793	10/15/1998	WIPO				
	AP	WO 98/58334	12/23/1998	WIPO				
	AQ	WO 99/00958	01/07/1999	WIPO				
	AR	WO 00/79432	12/28/2000	WIPO				
	AS	WO 97/15885	05/01/1997	WIPO				
	AT	WO 98/43150	10/01/1998	WIPO				
	AU	WO 99/00960	01/07/1999	WIPO				
	AV	WO 99/03243	01/21/1999	WIPO				
	AW	JP 9-114783	05/24/1989	Japan			Abstract	
	AX	JP 11-31126	05/02/1997	Japan			Abstract	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AY	"Sixth International World Wide Web Conference" Conference Proceedings, <a href="http://decweb.ethz.ch/WWW6/">http://decweb.ethz.ch/WWW6/</a>
	AZ	"Extranet Security: What's Right for the Business?", Steve Trulan, page 47, <i>Information Systems Security</i> , Volume 7 No. 1, Spring 1998
	AAA	"Exploiting User Behavior in Prefetching WWW Documents", El-Saddik et al., pp. 302-311, <i>Interactive Distributed Multimedia Systems and Telecommunications Services 5<sup>th</sup> International Workshop</i> 1998
	ABB	Network News (UK Edition), pp 29-30, 18 November 1998, Issue 156
	ACC	"Intercessory Objects within Channels" Barry Kitson, Telecom Research Laboratories, 1995
	ADD	Webgroup: a secure group access control tool for for the World-Wide Web", Petitcolas and Zhang pp 301-305, Proceedings of Seventh IEEE International Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, 1988
	AEE	"Notice of Reasons for Rejection," Japanese Patent Application No. 2000-601562, July 8, 2004
	AFF	"Statement of Grounds of Opposition," Australian Patent Application No. 200035010 (769163), July 15, 2004

Examiner Signature	Date Considered
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